



BROKER ANNUAL RECERTIFICATION

Account Executive: _____

Company Name: _____ NMLS #: _____

Address: _____

1. Has your company moved within the past year? If yes, please provide an updated W-9. YES NO
2. Has ownership in your company changed since you were approved with WinPrime Lending? If yes, please provide updated Articles of Incorporation/Operating Agreement and Authorization Form.
Note: Additional information may be required. YES No
3. Have there been any material changes in the structure of your company? YES NO
4. Is your company or owners subject to any bankruptcy proceedings? YES NO
5. Has your company, owner(s), or employee(s) been sanctioned or disciplined by any state or regulatory agency? YES NO
6. Is your company or owner(s) involved in any pending litigation? YES NO
7. Has your approval with any Agency or Investor ever been canceled or suspended? If you answered "yes" to any of the above questions please provide letter of explanation. YES NO

The broker hereby certifies that all information provided is true and accurate and that no material changes have occurred that would impact their approval with WinPrime Lending. The broker also authorizes WinPrime Lending to perform all necessary background checks.

Documentation Requirements:

- Broker Annual Recertification Form
- Current P&L and Balance Sheet
- Authorization Form (For all owners owning 10% or more of the company)

Broker of Record/Owner

Date

AUTHORIZATION FORM

Required to be executed by broker of record and all owners with 10% or more ownership.

PLEASE COMPLETE THE INFORMATION BELOW AND CERTIFY THE FOLLOWING:

I certify that I duly authorized to complete this application and grant consent on behalf of the firm named herein for the purposes of applying to become a WinPrime Lending Business Partner. I certify all information contained in this Application for Business Partner Approval is true and correct in all respects. By signing below, I hereby give WinPrime Lending authorization to obtain and/or verify information, including a credit report for the company and all executive officers and/or owners from any source regarding this application. WinPrime Lending is also authorized to submit the name of the company and all employees of the company for screening through any and all mortgage industry background databases. The undersigned hereby releases, discharges and exonerates any person or entity providing information to WinPrime Lending in connection with this application and any recipient of such information, including Winprime Lending or its representatives, from any and all liability of every nature and kind arising from or in connection with the furnishing, receipt, and review of such information.

Company Name: _____ Tax ID: _____

Address: _____

Name (First & Last): _____ SSN: _____

Title: _____ Date of Birth: _____

Phone Number: _____ % Owned Residence: _____

Address: _____

Signature Required: _____

Name (First & Last): _____ SSN: _____

Title: _____ Date of Birth: _____

Phone Number: _____ % Owned Residence: _____

Address: _____

Signature Required: _____

Name (First & Last): _____ SSN: _____

Title: _____ Date of Birth: _____

Phone Number: _____ % Owned Residence: _____

Address: _____

Signature Required: _____

Name (First & Last): _____ SSN: _____

Title: _____ Date of Birth: _____

Phone Number: _____ % Owned Residence: _____

Address: _____

Signature Required: _____